

Applicant:	Hambrecht, et al	Atty Dkt: 2955/107
Serial No.:	10/632,818	Art Unit: 3691
Date Filed:	08/04/03	Examiner: Campen, K.
Invention:	Auction System & Method for Pricing and Allocation during Capital Formation	Date: January 14, 2008

SUPPLEMENTAL AMENDMENT

In supplement to the response filed November, 2007, please amend the above-identified patent application as follows:

Amendments to the claims are listed beginning on page 2 of this paper.

Remarks begin on page 9 of this paper.